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I, Bruce A.Harland, hereby declare as follows:

1. I am an attorney at law, admitted to practice before this Court, and a shareholder with the law firm of Weinberg, Roger and Rosenfeld, attorneys for SEIU, Local 715 in the above-referenced matter. I make this declaration upon my personal knowledge, and, if called as a witness, I could competently testify to the facts hereinafter stated.

- 2. Attached as Exhibit A are true and correct copies of the relevant pages of a transcript in an arbitration proceeding before Arbitrator Thomas Angelo on November 28, 2007, involving the grievance of Victor Acosta.
- 3. Attached as Exhibit B are true and correct copies of Stanford Hospital and Clinics and Lucile Packard Children's Hospital's responses to requests for admissions.

I declare under penalty of perjury under the laws of the United States of America and the State of California that the foregoing is true and correct. Executed this 18th day of July 2008 in Alameda, California.

/s/BRUCE A. HARLAND BRUCE A. HARLAND

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WEINBERG, ROGER &
ROSENFELD
A Professional Corporation
1001 Marina Village Parkway
Suite 200
Alameda, CA 94501-1091

BEFORE HONORABLE THOMAS A	NGELO, LABOR ARBITRATOR
In re: an arbitration between	)
	)
STANFORD HOSPITAL & CLINICS	)

IN ARBITRATION PROCEEDINGS

LUCILE PACKARD CHILDREN'S **CERTIFIED COPY** HOSPITAL, . )

)

and ) FMCS No. 070420-55892-A

SEIU LOCAL 715, Respondent.

Complainant,

Grievance of Victor Acosta

TRANSCRIPT OF PROCEEDINGS

PALO ALTO, CALIFORNIA

NOVEMBER 28, 2007

REPORTED BY: JANE H. STULLER, CSR NO. 7223 (401481)

#### ONS

to hear this dispute.

Joint Exhibit 6 is a letter over my signature to the parties dated July 10, 2007 indicating today would be the date for the hearing.

Joint Exhibit 7 is a step-two decision from the hospital dated April 5, 2007. It has attached -- it's a two-page decision. It has attached, apparently, the invocation from the union I previously described.

I should also note there has been some correspondence between the parties and the arbitrator regarding another matter. I'm assuming that will be discussed at our next event.

Let me also say that the parties have agreed that with respect to the dispute itself, the issues would be whether the grievant was terminated for just cause; and if not, what should the remedy be.

The parties have also agreed that should we proceed further today, the matter is properly before the arbitrator for resolution and that all time limits and requirements to the contract with respect to the processing of the grievance and invocation of arbitration have been satisfied.

And they further agree that should I issue a decision, an award in this matter, I may retain jurisdiction to resolve any disputes over the meaning or

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application of the decision and award.

I've indicated the documents I previously described are provisionally marked as joint exhibits. I won't admit them at this point in light of what I understand to be a procedural matter, and I will ask if the parties wish to address that question at this point.

MR. ARNOLD: The Employer does.

THE ARBITRATOR: Okay. Mr. Arnold, the floor is yours.

MR. ARNOLD: Mr. Arbitrator, as you are aware from prior conversations, there is an underlying issue that is fundamental to the arbitration of this grievance, a grievance challenging the termination of the grievant, Victor Acosta for theft.

But that underlying issue has nothing to do with the merits of the grievance itself. That issue involves a dispute concerning the status of individuals and entities purporting to act as the representatives of SEIU Local 715 and of the bargaining unit employee at the Stanford Hospital and Clinics and Lucile Packard Children's Hospital.

While that issue is unrelated to the merits of the particular grievance for which you were selected, it is nevertheless a threshold issue. For if the individuals and entities attempting to appear here as

#### CERTIFICATE OF CERTIFIED SHORTHAND REPORTER

I, JANE STULLER, hereby certify that I am a Certified Shorthand Reporter; that I reported in shorthand writing the foregoing matter at the time and place therein stated; that the foregoing pages are a full, true and complete transcript of my said shorthand notes and is a full, true and correct record of the proceedings had in said matter at said time and place.

Dated: December 13, 2007.

JANE STULLER

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Certified Shorthand Reporter California License #7223 Word Index

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ONS

United States District for the Northern District has personal jurisdiction by virtue of Section 301 of the Labor-Management Relations Act.

# **RESPONSE TO REQUEST FOR ADMISSION NO. 1:**

Denied. Local 715 has alleged that it is a labor organization and that therefore the Court has jurisdiction under Section 301 of the Labor-Management Relations Act. LPCH has asserted as an affirmative defense, among other things, that Local 715 is not a labor organization.

#### **REQUEST FOR ADMISSION NO. 2:**

Admit that Lucile Packard Children's Hospital is an employer engaged in an industry affecting commerce as defined by the National Labor Relations Act.

## **RESPONSE TO REQUEST FOR ADMISSION NO. 2:**

Admitted.

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#### **REQUEST FOR ADMISSION NO. 3:**

Admit that SEIU, Local 715 is an organization that employees at Lucile Packard Children's Hospital participate in and which exists for the purpose, in whole or in part, of dealing with Lucile Packard Children's Hospital concerning grievances, labor disputes, wages, rates of pay, hours of employment, or conditions of work.

### **RESPONSE TO REQUEST FOR ADMISSION NO. 3:**

LPCH admits that prior to March 1, 2007 or thereabouts, Local 715 was an organization that employees at LPCH participated in and which existed for the purpose, in whole or in part, of dealing with LPCH concerning grievances, labor disputes, wages, rates of pay, hours of employment, or conditions of work. After reasonable inquiry, based on information presently known or reasonably available, LPCH lacks sufficient information at this time to admit or deny that Local 715 maintained this status after March 1, 2007. Discovery is ongoing.

# **REQUEST FOR ADMISSION NO. 4:**

Admit that Lucile Packard Children's Hospital deals with SEIU, Local 715 Shop Stewards over grievances.

# **RESPONSE TO REQUEST FOR ADMISSION NO. 4:**

LPCH admits that it deals over grievances with persons who, prior to March 1, 2007,

# **REQUEST FOR ADMISSION NO. 9:**

Admit that SEIU, Local 715 is a labor organization representing employees in an industry

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affecting commerce as defined by the National Labor Relations Act.

#### **RESPONSE TO REQUEST FOR ADMISSION NO. 9:**

LPCH admits that prior to March 1, 2007 or thereabouts, Local 715 was a labor organization representing employees in an industry affecting commerce as defined by the National Labor Relations Act. After reasonable inquiry, based on information presently known or reasonably available, LPCH lacks sufficient information at this time to admit or deny that Local 715 maintained this status after March 1, 2007. Discovery is ongoing.

#### **REQUEST FOR ADMISSION NO. 10:**

Admit that the Director of Employee and Labor Relations, Laurie Quintel, testified under oath on May 9, 2008 before an Administrative Law Judge of the National Labor Relations Board that Stanford Hospital and Clinics and Lucile Packard Children's Hospital deals with SEIU, Local 715 Shop Stewards with respect to the wages, hours, and terms and conditions of employment regarding workers represented by SEIU, Local 715.

#### **RESPONSE TO REQUEST FOR ADMISSION NO. 10:**

LPCH denies that Director of Employee and Labor Relations Laurie Quintel testified under oath in any forum on May 9, 2008. LPCH admits that Ms. Quintel testified at a hearing before Administrative Law Judge John J. McCarrick on May 6, 2008, but denies that her testimony was as described above.

#### **REQUEST FOR ADMISSION NO. 11:**

Admit that the National Labor Relations Board has certified SEIU, Local 715 as the exclusive representative of employees at Stanford Hospital and Clinics and Lucile Packard Children's Hospital pursuant to a Certification of Representation issued by the National Labor Relations Board in Case No. 32-RC-4504, as modified in Case No. 32-UC-363.

#### **RESPONSE TO REQUEST FOR ADMISSION NO. 11:**

LPCH admits that, pursuant to an order of the National Labor Relations Board dated November 30, 1998 in Case No. 32-RC-4504, as modified in Case No. 32-UC-363, SEIU Local 715 AFL-CIO, CLC was certified as the exclusive collective-bargaining representative of a group of employees of LPCH and Stanford Hospital And Clinics ("SHC") as set forth in that

order.

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# **REQUEST FOR ADMISSION NO. 12:**

Admit that Stanford Hospital and Clinics and Lucile Packard Children's Hospital recognizes SEIU, Local 715 as the sole and exclusive representative for the purpose of collective bargaining for all full-time, part-time, and relief non-professional employees performing service and patient care functions employed at Stanford Hospital, Lucile Packard Children's Hospital, Welch Road and Blake Wilbur Drive.

#### **RESPONSE TO REQUEST FOR ADMISSION NO. 12:**

LPCH admits that it recognized Local 715 as the sole and exclusive representative for the purposes of collective bargaining for all full-time, part-time, and relief non-professional employees performing service and patient care functions employed at Stanford Hospital, Lucile Packard Children's Hospital, Welch Road and Blake Wilbur Drive until March 1, 2007 or thereabouts. LPCH believes that, after March 1, 2007 or thereabouts, Local 715 effectively ceased to exist and/or attempted to transfer its representational rights to Service Employees International Union, United Healthcare Workers – West. Discovery is continuing.

## **REQUEST FOR ADMISSION NO. 13:**

Admit that Lucile Packard Children's Hospital has not withdrawn recognition of SEIU, Local 715.

# **RESPONSE TO REQUEST FOR ADMISSION NO. 13:**

Admitted.

#### **REQUEST FOR ADMISSION NO. 14:**

Admit that the Director of Employee and Labor Relations, Laurie Quintel, testified under oath on May 9, 2008 before an Administrative Law Judge of the National Labor Relations Board that Lucile Packard Children's Hospital has not withdrawn recognition of SEIU, Local 715.

# **RESPONSE TO REQUEST FOR ADMISSION NO. 14:**

LPCH denies that Director of Employee and Labor Relations Laurie Quintel testified under oath in any forum on May 9, 2008. LPCH admits that Ms. Quintel testified at a hearing before Administrative Law Judge John J. McCarrick on May 6, 2008, and that at that hearing,

she testified that, at that time, LPCH had not withdrawn recognition from of Local 715.

#### **REQUEST FOR ADMISSION NO. 15:**

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Admit that there is a collective bargaining agreement between SEIU, Local 715 and Stanford Hospital and Clinics and Lucile Packard Children's Hospital, effective January 20, 2006 through November 4, 2008.

#### **RESPONSE TO REQUEST FOR ADMISSION NO. 15:**

LPCH admits that, prior to March 1, 2007, LPCH and Local 715 negotiated and executed a collective bargaining agreement, which agreement bears the effective dates of January 20, 2006 through November 4, 2008.

#### **REQUEST FOR ADMISSION NO. 16:**

Admit that SEIU, Local 715 enjoys an irrebuttable presumption of continuing majority status for the duration of the collective bargaining agreement between Stanford Hospital and Clinics and Lucile Packard Children's Hospital.

#### **RESPONSE TO REQUEST FOR ADMISSION NO. 16:**

LPCH admits that, if Local 715 in fact continues to exist, and if it has not transferred its representational right to another labor organization, whether properly or improperly, it would enjoy an irrebuttable presumption of continuing majority support for the duration of the collective bargaining agreement between LPCH and Local 715.

#### **REQUEST FOR ADMISSION NO. 17:**

Admit that Stanford Hospital and Clinics and Lucile Packard Children's Hospital filed three unfair labor practice charges, Case Nos. 32-CB-6237, 32-CB-5350 and 32-CB-6351, alleging that SEIU, Local 715 failed to provide relevant and necessary information to Stanford Hospital and Clinics and Lucile Packard Children's Hospital, pursuant to its obligation as a labor organization under the National Labor Relations Act.

# **RESPONSE TO REQUEST FOR ADMISSION NO. 17:**

LPCH admits that, on or around April 16, 2007, it filed an unfair labor practice charge against Local 715 designated Case No. 32-CB-6237 stating as the basis of the charge that:

Despite repeated requests, the Union refuses to provide the

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RESPONDENT LPCH'S RESPONSE TO PETITIONER'S REQUEST FOR ADMISSIONS UNDER RULE 36 (SET ONE); CASE NO: 5:08-CV-00216 JF

issued on behalf of LPCH and/or SHC.

# **REQUEST FOR ADMISSION NO. 19:**

Local 715 "is now, and has been at all times material herein, a labor organization within the

Admit that Region 32 of the National Labor Relations Board alleged that SEIU,

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meaning of Section 2(5) of [the National Labor Relations] Act."

## **RESPONSE TO REQUEST FOR ADMISSION NO. 19:**

LPCH admits that Region 32 of the National Labor Relations Board issued a complaint in Case Nos. 32-CB-6237, 32-CB-6350 and 32-CB-6351, and that Paragraph 4 of that complaint states that: "Respondent is now, and has been at all times material herein, a labor organization within the meaning of Section 2(5) of the Act."

## **REQUEST FOR ADMISSION NO. 20:**

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Admit that at no time during the hearing, on May 9, 2008, did Stanford Hospital and Clinics and Lucile Packard Children's Hospital object to the allegation that SEIU, Local 715 "is now, and has been at all times material herein, a labor organization within the meaning of Section 2(5) of [the National Labor Relations] Act."

# **RESPONSE TO REQUEST FOR ADMISSION NO. 20:**

LPCH denies that any hearing took place on May 9, 2008. LPCH further denies that it was in a position to object to, or otherwise file pleadings responsive to the Complaint issued by Region 32 of the National Labor Relations Board.

### **REQUEST FOR ADMISSION NO. 21:**

Admit that had Stanford Hospital and Clinics and Lucile Packard Children's Hospital objected to the allegation that SEIU, Local 715 "is now, and has been at all times material herein, a labor organization within the meaning of Section 2(5) of [the National Labor Relations] Act" the Complaint issued by Region 32 of the National Labor Relations Board would have been dismissed because of SEIU, Local 715's lack of standing as a labor organization.

# **RESPONSE TO REQUEST FOR ADMISSION NO. 21:**

LPCH objects to Request For Admission No. 21 on the grounds that it requests an admission based on speculation regarding the hypothetical actions of third parties based upon a hypothetical fact pattern. As LPCH cannot ascertain such facts, it can neither admit nor deny the content of the request for admission.

## **REQUEST FOR ADMISSION NO. 22:**

Admit that since January 9, 2008 YOU have refused to submit SEIU, Local 715's

grievance protesting an unjust written warning and unjust involuntary forced shift change to arbitration.

#### **RESPONSE TO REQUEST FOR ADMISSION NO. 22:**

LPCH objects that the reference to "Local 715's grievance protesting an unjust written warning and unjust involuntary forced shift change" is vague and ambiguous. LPCH denies that it has refused to arbitrate substantively arbitrable and procedurally proper grievances with Local 715 and its properly appointed representatives. LPCH has refused to arbitrate grievances with Service Employees International Union, United Healthcare Workers – West ("UHW") and its representatives who purport to appear on behalf of Local 715 pursuant to a "Servicing Agreement" that LPCH has rejected. This includes the above-referenced grievance, assuming that "Local 715's grievance protesting an unjust written warning and unjust involuntary forced shift change" refers to the grievance filed on behalf of John Simien in April, 2007, and assuming that grievance is procedurally proper.

#### **REQUEST FOR ADMISSION NO. 23:**

Admit that because SEIU, Local 715 is an existing labor organization, under Section 8(g) of the National Labor Relations Act, if it chose to engage in any strike, picketing, or other concerted refusal to work at Stanford Hospital and Clinics and Lucile Packard Children's Hospital, it must notify in writing Stanford Hospital and Clinics and Lucile Packard Children's Hospital and the Federal Mediation and Conciliation Service of its intent to do so, not less than ten days prior to engaging in any strike, picketing, or other concerted refusal to work.

# **RESPONSE TO REQUEST FOR ADMISSION NO. 23:**

LPCH objects to the request for admission on the grounds that it seeks information that is not relevant to a claim or defense of any party and that it calls for a conclusion of law. Notwithstanding such objections, LPCH admits that if Local 715 is in fact a labor organization, it would be required to give notice to LPCH and SHC before engaging an any strike, picketing, or other refusal to work at LPCH and/or SHC under Section 8(g) of the National Labor Relations Act, and that, if Local 715 is in fact a labor organization, it would be prohibited from engaging in a strike, concerted refusal to work, or any picketing other than informational picketing against

C	ase 5:08-cv-00216-JF	Document 53-3	Filed 07/18/2008	Page 10 of 24		
1	LPCH and/or SHC by an existing collective bargaining agreement, whether or not it gave the					
2	notice required under Sec	ction 8(g).				
3	Dated: July		FOLEY & LARDNER	IID		
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	RESPONDENT LI UNI	PCH'S RESPONSE TO DER RULE 36 (SET ON	PETITIONER'S REQUES JE); CASE NO: 5:08-CV-0	T FOR ADMISSIONS 0216 JF		
101.1						

#### **VERIFICATION**

3 | I, Laurie J. Quintel, declare:

I am the Director of Employee and Labor Relations for Lucile Packard Children's Hospital ("LPCH"), and am authorized to make this verification for, and on behalf of, LPCH. I have read LPCH's "Response To Petitioner's Request For Admissions Under Rule 36 (Set One)" and know its contents. The information is true and correct of my own knowledge, except as to those matters which are stated on information and belief, and as to those matters, I believe them to be true.

I declare under penalty of perjury under the laws of the State of California and the United States of America that the foregoing is true and correct.

Dated: July <u>/D</u>, 2008

Jaurie J. Quintel

Gase 5:08-cv-00216-JF Document 53-3 Filed 07/18/2008 Page 12 of 24

#### 1 PROOF OF SERVICE 2 I am employed in the County of San Francisco, State of California. I am over the age of 18 and not a party to this action; my current business address is One Maritime Plaza, 3 Sixth Floor, San Francisco, CA 94111-3409. 4 On July 11, 2008, I served the foregoing document(s) described as: RESPONDENT LUCILE PACKARD CHILDREN'S HOSPITAL'S RESPONSE TO 5 PETITIONER'S REQUEST FOR ADMISSIONS UNDER RULE 36 (SET ONE), Case No. 5:08-CV-00216-JF, on the interested parties in this action as follows: 6 BY THE FOLLOWING MEANS: I placed a true copy thereof enclosed in sealed envelope(s) addressed as follows: 7 8 William Sokol, Esq. W. Daniel Boone, Esq. 9 Bruce A. Harland, Esq. 10 Weinberg, Roger & Rosenfeld 1001 Marina Village Pkwy, Suite 200 Alameda, CA 94501-1091 11 (510) 337-1023 12 13 BY EXPRESS SERVICE CARRIER (Via Overnight Courier Service) 14 I am readily familiar with the firm's practice for collection and processing of correspondence for delivery by Federal Express: collected packages are 15 picked up by an express carrier representative on the same day, with the 16 Airbill listing the account number for billing to sender, at San Francisco. California, in the ordinary course of business. I placed the envelope(s) in an envelope or package designated by the express service carrier for 17 collection and processing for express service delivery on the above date 18 following ordinary business practices. 19 Executed on July 11, 2008, at San Francisco, California. 20 I declare under penalty of perjury under the laws of the State of California that the above is true and correct. 21 I declare that I am employed in the office of a member of the bar of this court at whose direction the service was made. 22 23 24

Patricia A. Lee

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States District for the Northern District has personal jurisdiction by virtue of Section 301 of the Labor-Management Relations Act.

#### **RESPONSE TO REQUEST FOR ADMISSION NO. 1:**

Denied. Local 715 has alleged that it is a labor organization and that therefore the Court has jurisdiction under Section 301 of the Labor-Management Relations Act. SHC has asserted as an affirmative defense, among other things, that Local 715 is not a labor organization.

#### **REQUEST FOR ADMISSION NO. 2:**

Admit that Stanford Hospital and Clinics is an employer engaged in an industry affecting commerce as defined by the National Labor Relations Act.

#### **RESPONSE TO REQUEST FOR ADMISSION NO. 2:**

Admitted.

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#### **REQUEST FOR ADMISSION NO. 3:**

Admit that SEIU, Local 715 is an organization that employees at Stanford Hospital and Clinics participate in and which exists for the purpose, in whole or in part, of dealing with Stanford Hospital and Clinics concerning grievances, labor disputes, wages, rates of pay, hours of employment, or conditions of work.

# **RESPONSE TO REQUEST FOR ADMISSION NO. 3:**

SHC admits that prior to March 1, 2007 or thereabouts, Local 715 was an organization that employees at SHC participated in and which existed for the purpose, in whole or in part, of dealing with SHC concerning grievances, labor disputes, wages, rates of pay, hours of employment, or conditions of work. After reasonable inquiry, based on information presently known or reasonably available, SHC lacks sufficient information at this time to admit or deny that Local 715 maintained this status after March 1, 2007. Discovery is ongoing.

# **REQUEST FOR ADMISSION NO. 4:**

Admit that Stanford Hospital and Clinics deals with SEIU, Local 715 Shop Stewards over grievances.

# **RESPONSE TO REQUEST FOR ADMISSION NO. 4:**

SHC admits that it deals over grievances with persons who, prior to March 1, 2007, were

C	ase 5:08-cv-00216-JF Document 53-3 Filed 07/18/2008 Page 15 of 24					
1	designated by Local 715 as shop stewards.					
2	REQUEST FOR ADMISSION NO. 5:					
3	Admit that Stanford Hospital and Clinics deals with SEIU, Local 715 Shop Stewards over					
4	labor disputes.					
5	RESPONSE TO REQUEST FOR ADMISSION NO. 5:					
6	SHC denies that, apart from grievances as described above, there been any labor disputes					
7	about which it would deal with any person.					
8	REQUEST FOR ADMISSION NO. 6:					
9	Admit that Stanford Hospital and Clinics deals with SEIU, Local 715 Shop Stewards over					
10	rates of pay.					
11	RESPONSE TO REQUEST FOR ADMISSION NO. 6:					
12	SHC admits that it has given notices regarding rates of pay to persons who, prior to					
13	March 1, 2007, were designated by Local 715 as shop stewards, but denies that it has dealt with					
14	anyone over rates of pay since March 1, 2007.					
15	REQUEST FOR ADMISSION NO. 7:					
16	Admit that Stanford Hospital and Clinics deals with SEIU, Local 715 Shop Stewards over					
17	hours of employment.					
18	RESPONSE TO REQUEST FOR ADMISSION NO. 7:					
19	SHC admits that it deals over hours of employment with persons who, prior to March 1,					
20	2007, were designated by Local 715 as shop stewards.					
21	REQUEST FOR ADMISSION NO. 8:					
22	Admit that Stanford Hospital and Clinics deals with SEIU, Local 715 Shop Stewards over					
23	conditions of work.					
24	RESPONSE TO REQUEST FOR ADMISSION NO. 8:					
25	SHC admits that it deals over conditions of work with persons who, prior to March 1,					
26	2007, were designated by Local 715 as shop stewards.					
27	REQUEST FOR ADMISSION NO. 9:					
28	Admit that SEIU, Local 715 is a labor organization representing employees in an industry					
	RESPONDENT STANFORD'S RESPONSE TO PETITIONER'S REQUEST FOR ADMISSIONS					

affecting commerce as defined by the National Labor Relations Act.

#### **RESPONSE TO REQUEST FOR ADMISSION NO. 9:**

SHC admits that prior to March 1, 2007 or thereabouts, Local 715 was a labor organization representing employees in an industry affecting commerce as defined by the National Labor Relations Act. After reasonable inquiry, based on information presently known or reasonably available, SHC lacks sufficient information at this time to admit or deny that Local 715 maintained this status after March 1, 2007. Discovery is ongoing.

#### **REQUEST FOR ADMISSION NO. 10:**

Admit that the Director of Employee and Labor Relations, Laurie Quintel, testified under oath on May 9, 2008 before an Administrative Law Judge of the National Labor Relations Board that Stanford Hospital and Clinics and Lucile Packard Children's Hospital deals with SEIU, Local 715 Shop Stewards with respect to the wages, hours, and terms and conditions of employment regarding workers represented by SEIU, Local 715.

#### **RESPONSE TO REQUEST FOR ADMISSION NO. 10:**

SHC denies that Director of Employee and Labor Relations Laurie Quintel testified under oath in any forum on May 9, 2008. SHC admits that Ms. Quintel testified at a hearing before Administrative Law Judge John J. McCarrick on May 6, 2008, but denies that her testimony was as described above.

### **REQUEST FOR ADMISSION NO. 11:**

Admit that the National Labor Relations Board has certified SEIU, Local 715 as the exclusive representative of employees at Stanford Hospital and Clinics and Lucile Packard Children's Hospital pursuant to a Certification of Representation issued by the National Labor Relations Board in Case No. 32-RC-4504, as modified in Case No. 32-UC-363.

# **RESPONSE TO REQUEST FOR ADMISSION NO. 11:**

SHC admits that, pursuant to an order of the National Labor Relations Board dated November 30, 1998 in Case No. 32-RC-4504, as modified in Case No. 32-UC-363, SEIU Local 715 AFL-CIO, CLC was certified as the exclusive collective-bargaining representative of a group of employees of SHC and Lucile Packard Children's Hospital ("LPCH") as set forth in

1 | that order.

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# **REQUEST FOR ADMISSION NO. 12:**

Admit that Stanford Hospital and Clinics and Lucile Packard Children's Hospital recognizes SEIU, Local 715 as the sole and exclusive representative for the purpose of collective bargaining for all full-time, part-time, and relief non-professional employees performing service and patient care functions employed at Stanford Hospital, Lucile Packard Children's Hospital, Welch Road and Blake Wilbur Drive.

#### **RESPONSE TO REQUEST FOR ADMISSION NO. 12:**

SHC admits that it recognized Local 715 as the sole and exclusive representative for the purposes of collective bargaining for all full-time, part-time, and relief non-professional employees performing service and patient care functions employed at Stanford Hospital, Lucile Packard Children's Hospital, Welch Road and Blake Wilbur Drive until March 1, 2007 or thereabouts. SHC believes that, after March 1, 2007 or thereabouts, Local 715 effectively ceased to exist and/or attempted to transfer its representational rights to Service Employees International Union, United Healthcare Workers – West. Discovery is continuing.

#### **REQUEST FOR ADMISSION NO. 13:**

Admit that Stanford Hospital and Clinics has not withdrawn recognition of SEIU, Local 715.

# **RESPONSE TO REQUEST FOR ADMISSION NO. 13:**

Admitted.

#### **REQUEST FOR ADMISSION NO. 14:**

Admit that the Director of Employee and Labor Relations, Laurie Quintel, testified under oath on May 9, 2008 before an Administrative Law Judge of the National Labor Relations Board that Stanford Hospital and Clinics has not withdrawn recognition of SEIU, Local 715.

# **RESPONSE TO REQUEST FOR ADMISSION NO. 14:**

SHC denies that Director of Employee and Labor Relations Laurie Quintel testified under oath in any forum on May 9, 2008. SHC admits that Ms. Quintel testified at a hearing before Administrative Law Judge John J. McCarrick on May 6, 2008, and that at that hearing, she

testified that, at that time, SHC had not withdrawn recognition from of Local 715.

## **REQUEST FOR ADMISSION NO. 15:**

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Admit that there is a collective bargaining agreement between SEIU, Local 715 and Stanford Hospital and Clinics and Lucile Packard Children's Hospital, effective January 20, 2006 through November 4, 2008.

#### **RESPONSE TO REQUEST FOR ADMISSION NO. 15:**

SHC admits that, prior to March 1, 2007, SHC and Local 715 negotiated and executed a collective bargaining agreement, which agreement bears the effective dates of January 20, 2006 through November 4, 2008.

#### **REQUEST FOR ADMISSION NO. 16:**

Admit that SEIU, Local 715 enjoys an irrebuttable presumption of continuing majority status for the duration of the collective bargaining agreement between Stanford Hospital and Clinics and Lucile Packard Children's Hospital.

#### **RESPONSE TO REQUEST FOR ADMISSION NO. 16:**

SHC admits that, if Local 715 in fact continues to exist, and if it has not transferred its representational right to another labor organization, whether properly or improperly, it would enjoy an irrebuttable presumption of continuing majority support for the duration of the collective bargaining agreement between SHC and Local 715.

#### **REQUEST FOR ADMISSION NO. 17:**

Admit that Stanford Hospital and Clinics and Lucile Packard Children's Hospital filed three unfair labor practice charges, Case Nos. 32-CB-6237, 32-CB-5350 and 32-CB-6351, alleging that SEIU, Local 715 failed to provide relevant and necessary information to Stanford Hospital and Clinics and Lucile Packard Children's Hospital, pursuant to its obligation as a labor organization under the National Labor Relations Act.

#### **RESPONSE TO REQUEST FOR ADMISSION NO. 17:**

SHC admits that, on or around April 16, 2007, it filed an unfair labor practice charge against Local 715 designated Case No. 32-CB-6237 stating as the basis of the charge that:

Despite repeated requests, the Union refuses to provide the

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Employer with sufficient information to permit the Employer to determine whether the Union continues to exist as an active labor organization within the meaning of the Act.

Repeated calls to the telephone number for the Union yield the response that it no longer exists. The Union's website, SEIU715.org, transfers the visitor to a website for SEIU521, which advises that SEIU Local 715 is now part of that local, and that the resources of Local 715 and four (4) other locals have been transferred to Local 521.

SHC admits that, on or around October 19, 2007, it filed an unfair labor practice charge against Local 715 designated Case No. 32-CB-6350 stating as the basis of the charge that:

The Union has failed and refused to provide information concerning whether the firm of Weinberg Roger & Rosenfeld is providing representational services directly on behalf of the Union, or on behalf of SEIU-UHW pursuant to an invalid servicing agreement between the Union and SEIU-UHW, which servicing agreement has previously been rejected by the Employer.

SHC admits that, on or around October 19, 2007, it filed an unfair labor practice charge against Local 715 designated Case No. 32-CB-6351 stating as the basis of the charge that:

The Union has refused to provide requested information relevant to the status and viability of SEIU, Local 715, for each month in the period from the announcement of the merger of Local 715 and four (4) other locals into Local 521 until the purported appointment of a "trustee" for SEIU Local 715, by SEIU International.

#### **REQUEST FOR ADMISSION NO. 18:**

Admit that Region 32 of the National Labor Relations Board issued a Complaint, on behalf of Stanford Hospital and Clinics and Lucile Packard's Children's Hospital, against SEIU, Local 715 in Case Nos. 32-CB-6237, 32-CB-6350 and 32-CB-6351.

# **RESPONSE TO REQUEST FOR ADMISSION NO. 18:**

SHC admits that Region 32 of the National Labor Relations Board issued a complaint in Case Nos. 32-CB-6237, 32-CB-6350 and 32-CB-6351. SHC denies that the complaint was issued on behalf of SHC and/or LPCH.

## **REQUEST FOR ADMISSION NO. 19:**

Admit that Region 32 of the National Labor Relations Board alleged that SEIU, Local 715 "is now, and has been at all times material herein, a labor organization within the meaning of Section 2(5) of [the National Labor Relations] Act."

#### **RESPONSE TO REQUEST FOR ADMISSION NO. 19:**

SHC admits that Region 32 of the National Labor Relations Board issued a complaint in Case Nos. 32-CB-6237, 32-CB-6350 and 32-CB-6351, and that Paragraph 4 of that complaint states that: "Respondent is now, and has been at all times material herein, a labor organization within the meaning of Section 2(5) of the Act."

## **REQUEST FOR ADMISSION NO. 20:**

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Admit that at no time during the hearing, on May 9, 2008, did Stanford Hospital and Clinics and Lucile Packard Children's Hospital object to the allegation that SEIU, Local 715 "is now, and has been at all times material herein, a labor organization within the meaning of Section 2(5) of [the National Labor Relations] Act."

#### **RESPONSE TO REQUEST FOR ADMISSION NO. 20:**

SHC denies that any hearing took place on May 9, 2008. SHC further denies that it was in a position to object to, or otherwise file pleadings responsive to the Complaint issued by Region 32 of the National Labor Relations Board.

#### **REQUEST FOR ADMISSION NO. 21:**

Admit that had Stanford Hospital and Clinics and Lucile Packard Children's Hospital objected to the allegation that SEIU, Local 715 "is now, and has been at all times material herein, a labor organization within the meaning of Section 2(5) of [the National Labor Relations] Act" the Complaint issued by Region 32 of the National Labor Relations Board would have been dismissed because of SEIU, Local 715's lack of standing as a labor organization.

# **RESPONSE TO REQUEST FOR ADMISSION NO. 21:**

SHC objects to Request For Admission No. 21 on the grounds that it requests an admission based on speculation regarding the hypothetical actions of third parties based upon a hypothetical fact pattern. As SHC cannot ascertain such facts, it can neither admit nor deny the content of the request for admission.

## **REQUEST FOR ADMISSION NO. 22:**

Admit that since January 9, 2008 YOU have refused to submit SEIU, Local 715's

grievance protesting an unjust written warning and unjust involuntary forced shift change to arbitration.

# **RESPONSE TO REQUEST FOR ADMISSION NO. 22:**

SHC objects that the reference to "Local 715's grievance protesting an unjust written warning and unjust involuntary forced shift change" is vague and ambiguous. SHC denies that it has refused to arbitrate substantively arbitrable and procedurally proper grievances with Local 715 and its properly appointed representatives. SHC has refused to arbitrate grievances with Service Employees International Union, United Healthcare Workers – West ("UHW") and its representatives who purport to appear on behalf of Local 715 pursuant to a "Servicing Agreement" that SHC has rejected. This includes the above-referenced grievance, assuming that "Local 715's grievance protesting an unjust written warning and unjust involuntary forced shift change" refers to the grievance filed on behalf of John Simien in April, 2007, and assuming that grievance is procedurally proper.

#### **REQUEST FOR ADMISSION NO. 23:**

Admit that because SEIU, Local 715 is an existing labor organization, under Section 8(g) of the National Labor Relations Act, if it chose to engage in any strike, picketing, or other concerted refusal to work at Stanford Hospital and Clinics and Lucile Packard Children's Hospital, it must notify in writing Stanford Hospital and Clinics and Lucile Packard Children's Hospital and the Federal Mediation and Conciliation Service of its intent to do so, not less than ten days prior to engaging in any strike, picketing, or other concerted refusal to work.

# **RESPONSE TO REQUEST FOR ADMISSION NO. 23:**

SHC objects to the request for admission on the grounds that it seeks information that is not relevant to a claim or defense of any party and that it calls for a conclusion of law. Notwithstanding such objections, SHC admits that if Local 715 is in fact a labor organization, it would be required to give notice to SHC and LPCH before engaging an any strike, picketing, or other refusal to work at SHC and/or LPCH under Section 8(g) of the National Labor Relations Act, and that, if Local 715 is in fact a labor organization, it would be prohibited from engaging in a strike, concerted refusal to work, or any picketing other than informational picketing against

С	ase 5:08-cv-00216-JF	Document 53-3	Filed 07/18/2008	Page 22 of 24
1	SHC and/or LPCH by ar	n existing collective	bargaining agreement	whether or not it gave the
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	RESPONDENT STA	NFORD'S RESPONSE	10 TO PETITIONER'S REQUI	EST FOR ADMISSIONS
690.1	UNI	DER RULE 36 (SET ON	TO PETITIONER'S REQUIVE); CASE NO: 5:08-CV-06	0216 JF

#### **VERIFICATION**

I am the Director of Employee and Labor Relations for Stanford Hospital and Clinics

I, Laurie J. Quintel, declare:

true.

("SHC"), and am authorized to make this verification for, and on behalf of, SHC. I have read SHC's "Response To Petitioner's Request For Admissions Under Rule 36 (Set One)" and know its contents. The information is true and correct of my own knowledge, except as to those

I declare under penalty of perjury under the laws of the State of California and the United

matters which are stated on information and belief, and as to those matters, I believe them to be

Dated: July 10, 2008

States of America that the foregoing is true and correct.

Gase 5:08-cv-00216-JF Document 53-3 Filed 07/18/2008 Page 24 of 24

#### 1 PROOF OF SERVICE I am employed in the County of San Francisco, State of California. I am over the age 2 of 18 and not a party to this action; my current business address is One Maritime Plaza, 3 Sixth Floor, San Francisco, CA 94111-3409. 4 On July 11, 2008, I served the foregoing document(s) described as: RESPONDENT STANFORD HOSPITAL AND CLINICS' RESPONSE TO PETITIONER'S REQUEST FOR ADMISSIONS UNDER RULE 36 (SET ONE), Case No. 5:08-CV-5 **00216-JF**, on the interested parties in this action as follows: 6 BY THE FOLLOWING MEANS: 7 I placed a true copy thereof enclosed in sealed envelope(s) addressed as follows: 8 William Sokol, Esq. 9 W. Daniel Boone, Esq. Bruce A. Harland, Esq. 10 Weinberg, Roger & Rosenfeld 1001 Marina Village Pkwy, Suite 200 Alameda, CA 94501-1091 11 (510) 337-1023 12 13 BY EXPRESS SERVICE CARRIER (Via Overnight Courier Service) 14 I am readily familiar with the firm's practice for collection and processing 15 of correspondence for delivery by Federal Express: collected packages are picked up by an express carrier representative on the same day, with the 16 Airbill listing the account number for billing to sender, at San Francisco. California, in the ordinary course of business. I placed the envelope(s) in 17 an envelope or package designated by the express service carrier for collection and processing for express service delivery on the above date 18 following ordinary business practices. 19 Executed on July 11, 2008, at San Francisco, California. 20 I declare under penalty of perjury under the laws of the State of California that the above is true and correct. 21 I declare that I am employed in the office of a member of the bar of this court at whose direction the service was made. 22 Livia a. Lee 23 24

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